

**Appendix A - Audit Plan Monitoring 2018-19
June 2018**

	Risk Area	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	Medium risk recommendations	Low risk recommendations
Completion of 17-18 Audit Plan										
HTS: Performance and Payments	Housing	16	Final report	■	■	■	Moderate	1	1	0
Priority Estates	Regeneration	12	Final report	■	■	■	Substantial	0	0	0
Absence management	Governance	10	Draft report	■	■		Moderate	0	2	1
Quarter 1 (2018-19)										
Asbestos Management	Housing	15	Scoping							
Leaseholders	Housing	10	Scoping							
Safeguarding	Community Wellbeing	10	Scoping							
Quarter 2										
HTS - strategic governance	Housing	10								
Contractual arrangements (Housing)	Housing	20								
IT Asset Management	Finance	10								
Housing Repairs	Housing	15								
Economic Development	Community Wellbeing	15								
Quarter 3										
Key Financial Control - Housing rents	Housing	15								
Customer services review	Community wellbeing	10								
Complaints	Community wellbeing	12								
IT - software licences	Finance	10								
Planning and building control	Place	10								
Quarter 4										
Capital projects programme	Finance	15								
Human Resources - starters, leavers and movers	Governance	10								
Income - commercial rents	Place	15								
Not a specific audit										
Fraud action plan/NFI	Corporate	10	On-going							
General Data Protection Regulations compliance	Governance	25	On-going							
Project oversight - waste contract	Place	5	On-going							
HTS joint working/KPIs	Housing	5	Subsumed within planned HTS audits							
Data Analytics	Corporate	20								
Business Continuity Planning	Corporate	5	On-going							
Totals				3	3	2		1	3	1
Key										
HTS - Harlow Trading Services										
NFI - National Fraud Initiative										