

**Appendix B - Audit Plan Monitoring 2017-18  
Year End**

	Risk Area	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	Medium risk recommendations	Low risk recommendations
Cyber Security	IT	12	Final report	■	■	■	Substantial	0	2	1
Priority Estates	Regeneration	12	Final report	■	■	■	Substantial	0	0	0
Sam's Place	Community Wellbeing	10	Final report	■	■	■	Moderate	0	4	1
Harlow Playhouse (incorporating cash and banking)	Community Wellbeing	12	Final report	■	■	■	Moderate	0	6	0
Key Financial Controls: - Accounting Systems/ General Ledger	Finance	12	Final Report	■	■	■	Moderate	0	2	0
Key Financial Controls - Creditors	Finance	12	Final Report	■	■	■	Moderate	0	5	1
HTS: Performance and payments	Corporate	16	Final report	■	■	■	Moderate	1	1	0
HR - Absence Management	Governance	10	Draft report	■	■		Moderate			
Safeguarding	Corporate	12	Scoping							
Home Ownership and Leaseholders service charges	Housing	12	Scoping							
<b>Not a specific audit</b>										
Fraud action plan	Corporate	5	Completed							
Agresso update oversight	Finance	5	Completed							
Discretionary Services (Events Management)	Community Wellbeing	15	Completed							
General Data Protection Regulations	Corporate	15	On-going							
Project oversight - waste contract	Place	5	On-going							
Project oversight - HR/payroll system	Governance	5	On-going							
Project oversight - customer strategy	Community Wellbeing	10	On-going							
Inphase - performance management and risk system	Corporate	15	On-going							
National Fraud Initiative	Finance		On-going							
<b>Totals</b>				<b>8</b>	<b>8</b>	<b>8</b>		<b>1</b>	<b>20</b>	<b>3</b>
<b>Defer/drop (as approved)</b>										
Responsive Repairs	Housing	12								
Council building - repairs and maintenance	Place	10								
Key Financial Controls - Capital Expenditure and Fixed Assets	Finance	12								
Procurement and Organised Crime	Corporate	12								
<b>Key</b>										
HTS - Harlow Trading Services										
HR - Human Resources										