

REPORT TO: SHAREHOLDER SUB-COMMITTEE

DATE: 16 JANUARY 2018

TITLE: RISK MANAGEMENT

LEAD OFFICER: ANDREW MURRAY, HEAD OF HOUSING
(01279) 446676

CONTRIBUTING OFFICER: STUART MOSELEY, INSURANCE AND RISK
MANAGER (01279) 446215

RECOMMENDED that:

- A** The Sub-Committee notes the strategic risks relating to HTS (Property and Environment) Ltd (HTS) together with their analysis and mitigation.

BACKGROUND

1. The Shareholder Sub-Committee terms of reference includes responsibility to ensure HTS Property and Environment) Ltd (Local Authority Trading Company) (LATC) risks are adequately defined, monitored and controlled.
2. The HTS transition Risk Register was reviewed by the Sub-Committee in June 2017 with feedback being given that it would need to be updated and aligned to Council's approach to risk management, analysis, mitigation, and reporting.
3. The Council has its own Corporate Risk register which is regularly reviewed by the Senior Management Board (SMB), reported to each Audit and Standards Committee meeting for scrutiny and challenge together with escalations, if required, reported to Cabinet quarterly. Within the corporate risk register, a strategic risk has been identified which relates to the 'Provision of Repairs, maintenance, landscape and street scene services'. This relates directly to the provision of services currently contracted to HTS as impacts upon the Council

HTS (PROPERTY AND ENVIRONMENT) LTD RISK REGISTER

4. The HTS Risk Register monitors its own corporate risks as relates to the business. It's reported to the HTS Board quarterly. The Council's Joint Performance Review Meeting (JPRM) also reviews quarterly, ensuring issues are escalated, if required, to the Sub-Committee.
5. Work has been undertaken to align methodology for risk articulation, analysis

and reporting with that used by the Council. This has resulted in a comprehensive strategic risk review being undertaken by HTS.

6. The Corporate Risk Register in Appendix A includes HTS' strategic risks as at December 2017 following the strategic risks review.
7. Strategic Risks now have clear ownership with Directors taking responsibility for individual risks. While other members of the senior management team may have responsibility for elements of risk control, individual ownership of the risk ensures clarity in coordination of risk mitigation.
8. In addition to the regular quarterly reviews and report to HTS Board and JPRM, strategic risks are subject to major reviews indicated by a target date. This is to ensure they are concurrent with the HTS Corporate Plan.
9. It should be noted there is one risk with a residual risk score of 21 as at December 2017: Information Governance and Data Compliance is required to be addressed with regards to implications from General Data Protection Regulations (GDPR) which come into force on 25 May 2017. HTS intend to liaise with the Council, which already has a GDPR project plan, in order to devise a HTS project plan for GDPR.

IMPLICATIONS

Place (includes Sustainability)

As contained within the report.

Author: **Graeme Bloomer, Head of Place**

Finance (Includes ICT)

The financial implications of the current identified risks are set out within the appendix to the report.

Author: **Simon Freeman, Head of Finance**

Housing

As outlined in the body of the report.

Author: **Andrew Murray, Head of Housing**

Community Wellbeing (includes Equalities and Social Inclusion)

As contained within the report.

Author: **Jane Greer, Head of Community Wellbeing**

Governance (includes HR)

None specific.

Author: **Amanda Julian, Legal Services Manager, on behalf of Brian Keane, Acting Managing Director**

Appendices

Appendix A – Risk Register at December 2017

Background Papers

None.

Glossary of terms/abbreviations used

None.