

## Appendix B - Year end Audit Report Summary 2019/20

	Risk Area	Plan Days	Status	Fieldwork started	report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	medium risk recommendations	Low risk recommendations
HR Starters, leavers and movers (c/f from 2018/19 Plan)	Governance	5	Final report	■	■	■	Substantial	0	0	1
Contractual arrangement - Housing (c/f from 2018/19 Plan)	Housing	20	Final report	■	■	■	Substantial	0	0	0
Cash Office (New audit)	Finance	10	Final report	■	■	■	Substantial	0	1	0
Change and patch management	Finance	10	Final report	■	■	■	Substantial	0	2	2
HTS (P&E) Ltd - GDPR compliance (new audit)	Corporate	10	Final report	■	■	■	Moderate	0	2	0
Business Continuity Planning	Corporate	10	Final report	■	■	■	Moderate	0	4	0
Corporate H&S framework	Corporate	15	Final report	■	■	■	Moderate	0	3	1
Conflicts of Interest (staff and Members)	Governance	10	Final report	■	■	■	Moderate	0	4	1
Purchase cards	Finance	15	Final report	■	■	■	Moderate	0	2	0
Commercial Rents	Finance	15	Draft report	■	■		Moderate	0	8	4
Former tenant arrears (follow up)	Housing	10	Final report	■	■	■	Limited	2	2	2
Data retention and disposals	Corporate	20	In progress	■						
Parks and landscapes	Environment and Planning	15	In progress	■						
Capital projects programme	Finance	15	In progress	■						
Harlow and Gilston Garden Town	Place	12	In progress	■						
Key Financial Control - Fixed Assets	Finance	12	In progress	■						
Treasury Management	Finance	10	Deferred							
Leah Manning Centre	Community Wellbeing	25	Deferred							
Planned maintenance and major works	Housing	15	Deferred							
HTS and Public Contract Regulations (part of the planned maintenance audit)	Housing	0	Deferred							
Homelessness	Housing	15	Deferred							

### Not a specific audit

Advice and guidance for the new HR system	Governance	3	In progress							
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<b>Totals</b>				<b>16</b>	<b>11</b>	<b>10</b>		<b>2</b>	<b>28</b>	<b>11</b>
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### Key

HTS (P&E) - Harlow Trading Services (Property and Environment)

GDPR -General Data Protection Regulations

c/f carried forward