

**Appendix A - Audit Plan Monitoring 2020/21
March 2021**

	Risk Area	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	Medium risk recommendations	Low risk recommendations
Harlow and Gilston Garden Town	Corporate	12	Final report	■	■	■	Substantial	0	3	1
Data retention and disposals	Governance	12	Final report	■	■	■	Moderate	0	6	4
Key Financial Controls - Fixed Assets	Finance	12	Final report	■	■	■	Moderate	0	2	0
Parks and landscapes	Planning and Environment	15	Final report	■	■	■	Limited/Moderate	2	1	0
Equality Act and Impact Statements	Governance	10	Final report	■	■	■	Limited	2	4	2
Payroll (light touch)	Governance	7	In progress	■						
Accounts Payable	Finance	12	In progress	■						
Provision of IT services to HTS	Housing	8	In progress	■						
IT disaster recovery	Finance	10	In progress	■						
Capital projects programme	Finance	15	In progress	■						
HTS Best value review progress	Corporate	12	Scoping							
Planning and building control	Planning and Environment	12	Scoping							
Key Financial Controls - Treasury Management	Finance	10	Scoping							
Key Financial Controls - Housing Benefits	Finance	15	Deferred							
Housing - overview of H&S inspections	Housing	15	Deferred							
Not a specific audit										
Advice and guidance for the new HR system	Governance	3	In progress	■						
Business Continuity (and Covid-19 recovery)	Corporate	5	On going	■						
Financial Regulations and Contract Standing Orders - delegated authorities	Finance	10	Completed				Not an audit but a benchmarking exercise instead			
Totals		148		12	5	5		4	16	7
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c/f carried forward										