

**Appendix B - Audit Plan Monitoring 2020/21  
Year End March 2021**

	Risk Area	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	Medium risk recommendations	Low risk recommendations
Harlow and Gilston Garden Town	Corporate	12	Final report	■	■	■	Substantial	0	3	1
Payroll (light touch)	Governance	7	Final report	■	■	■	Substantial	0	1	1
Data retention and disposals	Governance	12	Final report	■	■	■	Moderate	0	6	4
Key Financial Controls - Fixed Assets	Finance	12	Final report	■	■	■	Moderate	0	2	0
Key Financial Controls - Accounts Payable	Finance	12	Final report	■	■	■	Moderate	0	5	2
Provision of IT services to HTS	Finance	8	Final report	■	■	■	Moderate	0	6	0
IT disaster recovery	Finance	10	Final report	■	■	■	Moderate	0	7	0
Capital projects programme	Finance	15	Final report	■	■	■	Moderate	0	4	1
Parks and landscapes	Planning and Environment	15	Final report	■	■	■	Limited/Moderate	2	1	0
Equality Act and Impact Statements	Governance	10	Final report	■	■	■	Limited	2	4	2
Former Tenant Arrears follow up	Housing	5	Completed	■	■	■	n/a	1	1	3
HTS Best value review progress	Corporate	12	In progress							
Planning and building control	Planning and Environment	12	Scoping							
Key Financial Controls - Treasury Management	Finance	10	Deferred							
Key Financial Controls - Housing Benefits	Finance	15	Deferred							
Housing - overview of H&S inspections	Housing	15	Deferred							
<b>Not a specific audit</b>										
Advice and guidance for the new HR system	Governance	3	On going	■						
Business Continuity (and Covid-19 recovery)	Corporate	5	On going	■						
Financial Regulations and Contract Standing Orders - delegated authorities	Finance	10	Completed				Not an audit but a benchmarking exercise instead			
<b>Totals</b>		<b>153</b>		<b>13</b>	<b>11</b>	<b>11</b>		<b>5</b>	<b>40</b>	<b>14</b>