

Appendix 4

| Likelihood | Almost Certain | 99% likely to happen or has happened on a regular basis over the last 12 months. | 5 | 11 | 16 | 20 | 23 | 25 | |
|---------------------------|---|--|---|--|--|--|---|---------------------|--|
| | Likely | 75% likely to happen or has happened at least once or twice in the last 12 months. | 4 | 7 | 12 | 17 | 21 | 24 | |
| | Moderate | 50% likely to happen or has happened once or twice in the last 24 months. | 3 | 4 | 8 | 13 | 18 | 22 | |
| | Unlikely | 20% likely to happen or has happened once or twice in the last 5 years. | 2 | 2 | 5 | 9 | 14 | 19 | |
| | Rare | 5% likely to happen or hasn't happened within the last 5 years. | 1 | 1 | 3 | 6 | 10 | 15 | |
| Risk Rating Matrix | | | | 1 | 2 | 3 | 4 | 5 | |
| | | | | Minor slippage | Minor | Moderate | Major | Catastrophic | |
| | Impact | | | | | | | | |
| | Financial | | Loss/overspend under £10K | Loss/overspend £10K-£50K | Loss/overspend £50K-£250K | Loss/underspend £250K-£1M | Loss/underspend over £1M | | |
| | Service | | Marginal disruption to service capability | Short term disruption to service or marginal reduction in service. | Short term disruption to service or marginal reduction in service. Objectives of one department not met. | Short term loss of service or significant reduction service. Service objectives not met. | Medium/longer term loss of service. Failure to deliver at least one the Council's corporate objectives. | | |
| | | | Unlikely to cause complaint/litigation | Low potential for complaint/litigation | High potential for complaint with possible litigation | High potential for complaint with probable litigation | Litigation almost certain and difficult to defend. | | |
| | Reputation | | No adverse publicity | Minor adverse publicity | Significant adverse local publicity | Adverse national publicity/significant adverse local publicity | Significant adverse national publicity | | |
| | Legal/Regulatory | | Breaches of local procedures/standards | Breaches of regulations/standards | Breaches of regulations/standards | Breaches of law punishable by fines | Breaches of law punishable by imprisonment | | |
| | Environmental/ Public Health | | Incident with no lasting effect | Short term incident (days) | Short term incident (weeks) | Medium term major incident (1 month – 1 year) | Long term major incident (1 year +) | | |
| | Health and Safety | | 'First Aid' level injury | Medical treatment required – short term injury | Medical treatment required – long term injury | Extensive permanent injury – long term absence | Fatality | | |