

Appendix A - Audit Plan Monitoring 2021/22
November 2021

Risk Area	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High risk Recommendations	Medium risk recommendations	Low risk recommendations
Completion of 2020/21 plan									
HTS (Harlow Trading Services) Best Value Review progress	Corporate	12	In progress	■	■	■			
Quarter 1 & 2 2021/22									
Commerical rents follow up	Finance	3	Completed	■	■	■	n/a	No new recommendations	
Cyber security	Finance	10	In progress	■	■				
Planning and building control (c/f from 2020/21) - Development Management	Planning and Environment	12	In progress	■					
Facility Management	Finance	15	In progress	■					
Key Financial Controls - Housing Benefits	Finance	15	In progress	■					
Waste - contract management	Planning and Environment	10	Scoping						
HTS (Harlow Trading Services) Group governance	Housing	12	Scoping						
Quarter 3&4 2021/22									
Housing overview - H&S inspections	Housing	15							
Key Financial Controls - Treasury Management (c/f from 2020/21)	Finance	10							
Housing - HTS and annual service charge	Housing	20							
Key Financial Controls - Payroll	Governance	15							
Post implementation review of the new HR system	Governance	10							
Projects - Council housebuilding	Housing	15							
Business continuity follow up	Finance	10							
Not a specific audit									
Advice and guidance for Information Governance Group and Data Protection Officer	Governance	4	On-going	■					
Advice and guidance for the new HR system project	Governance	3	In progress	■					
Service/business planning and risk management	Finance	8	In progress	■					
Business grants - Post assurance verification checks	Finance		Completed	■	■	■	n/a	0	0
Totals		199		9	3	3		0	0
<i>c/f carried forward</i>									
H&S Health and Safety									