

**Appendix A - Audit Plan Monitoring 2021/22
February 2022**

| | Risk Area | Plan Days | Status | Fieldwork started | Report issued to Management | Finalised | Opinion: Level of Assurance | High risk Recommendations | Medium risk recommendations | Low risk recommendations |
|--|-------------|-----------|--------------|-------------------|-----------------------------|-----------|-----------------------------|---------------------------|-----------------------------|--------------------------|
| HTS (Harlow Trading Services) Best Value Review progress | Corporate | 12 | Final report | ■ | ■ | ■ | Substantial | 0 | 1 | 0 |
| Key Financial Controls - Housing Benefits | Finance | 15 | Final report | ■ | ■ | ■ | Substantial | 0 | 1 | 0 |
| Cyber security | IT | 10 | Final report | ■ | ■ | ■ | Moderate | 3 | 8 | 0 |
| Commercial rents follow up | Finance | 3 | Final report | ■ | ■ | ■ | n/a | 0 | 0 | 0 |
| Development Management | Planning | 12 | In progress | ■ | ■ | | | | | |
| Facility Management | Finance | 15 | In progress | ■ | ■ | | | | | |
| Waste - contract management | Environment | 10 | In progress | ■ | | | | | | |
| HTS (Harlow Trading Services) Housing and Regeneration governance | Housing | 12 | In progress | ■ | | | | | | |
| Housing overview - H&S inspections | Housing | 15 | Scoping | | | | | | | |
| Housing - HTS and annual service charge | Housing | 15 | Scoping | | | | | | | |
| Defer (subject to approval) | | | | | | | | | | |
| Key Financial Controls - Treasury Management | Finance | 10 | | | | | | | | |
| Key Financial Controls - Payroll | Governance | 15 | | | | | | | | |
| Council housebuilding | Housing | 15 | | | | | | | | |
| Not a specific audit | | | | | | | | | | |
| Advice and guidance for Information Governance Group and Data Protection Officer | Governance | 4 | On-going | | | | | | | |
| Advice and guidance for the new HR system project | Governance | 3 | On-going | | | | | | | |
| Post implementation review of the new HR system | Governance | 10 | On-going | | | | | | | |
| Service/business planning and risk management | Finance | 8 | On-going | | | | | | | |
| Business grants - post assurance verification checks | Finance | 0 | On-going | | | | | | | |
| Business continuity follow up | Corporate | 10 | Completed | | | | | | | |
| Totals | | | | 8 | 6 | 4 | | 3 | 10 | 0 |

H&S Health and Safety