

## MINUTES OF THE AUDIT & STANDARDS COMMITTEE HELD ON

23 November 2022

7.30 - 8.45 pm

### PRESENT

#### Committee Members

Councillor Matthew Saggars (Chair)  
Councillor Colleen Morrison (Vice-Chair)  
Councillor Simon Carter  
Councillor Jean Clark  
Councillor Jodi Dunne  
Councillor Stacy Seales  
Councillor Chris Vince  
Laura Kirman, Independent Person

#### Officers

Simon Freeman, Deputy to the Chief Executive and Director of Finance  
Karen Gardner, Internal Auditor  
Sarah Marsh, Internal Audit Manager  
Stuart Moseley, Senior Insurance Officer  
Nicole Parker, Admin Assistant  
Adam Rees, Senior Governance Support Officer

### 26. APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

None.

### 27. DECLARATIONS OF INTEREST

Councillors Jodi Dunne and Stacy Seales both declared a pecuniary interest as Council appointed non-executive directors of HTS.

### 28. MINUTES

**RESOLVED** that the minutes of the meeting held on 5 October 2022 are agreed as a correct record and signed by the Chair.

### 29. MATTERS ARISING

Councillor Matthew Saggars asked the committee whether there had been any updates on the industrial infrastructure assets. Steve Bladen, BDO advised it would possibly be enforced on 25 December 2022, but this has not been finalised.

Steve Bladen also advised the committee that the 2023-2024 audit plan will not be ready for the next committee meeting.

30. **WRITTEN QUESTIONS AND PETITIONS**

None.

31. **NON CONTENTIOUS BUSINESS**

None.

32. **COMMITTEE WORK PLAN**

The Committee received its current work plan.

**RESOLVED** that the work plan is noted.

33. **PERIOD 8 INTERNAL AUDIT ACTIVITY REPORT**

The Committee received an internal audit activity report which was presented by Sarah Marsh, Internal Audit Manager.

**RESOLVED** that the Committee:

- A** Reviewed the outcomes of the work of the Internal Audit service for the period July to November 2022 and identified any issues for further consideration.
- B** Approved the deferral of the IT Strategy and planned maintenance and major works audits.
- C** Approved the revised Internal Audit Charter.
- D** Approved the revised Code of Corporate Governance.
- E** Approved the revised Anti-Fraud and Corruption Strategy.

34. **RISK MANAGEMENT PROGRESS REPORT**

The Committee received the Risk Management Report, which was presented by Stuart Moseley, Insurance and Risk Manager.

**RESOLVED** that the Committee:

- A** Noted the Strategic Risks, risk scores and variation against risk appetite as at 30 September 2022.
- B** Noted the revised Risk Matrix introduced at 1 April 2022.
- C** Approved the revised Risk Appetite General Statement and risk appetite thresholds as set out by the Senior Management Board.

35. **REFERENCES FROM OTHER COMMITTEES**

None.

36. **MATTERS OF URGENT BUSINESS**

None.

CHAIR OF THE COMMITTEE