

Internal Audit Recommendation Tracker (All)

Last Updated: 29 May 2024

Appendix B

Audit Year (Date report issued)	Rec Ref	Original Recommendation	Priority	Original Managers Response	Responsible Officer/ Director	Agreed Imp Date	Revised Imp Date(s)	Status Update from Management	Status
<p>Waste Contract Management 2021/22 May 2022</p>	<p>2</p>	<p>The variable charges including the property uplift should be reconciled monthly to ensure the Council pays for services received. Documentation should be retained to demonstrate accuracy checks are completed.</p>	<p>High</p>	<p>Payment of the property uplift invoices has been made to avoid unnecessary delay in payments, but with a caveat that the figures are unvalidated and a reconciliation may be required. The reconciliation process has now started and once completed validation checks will take place every time the uplift threshold (50 additional properties) has been reached.</p>	<p>Assistant Director Environment</p>	<p>31/10/22</p>	<p>30/11/22 30/04/23 31/07/23 31/12/23 30/06/24 30/09/24</p>	<p>Aug/Nov 22: Property data has been received from Veolia and is in the process of being reconciled to the Council's property records.</p> <p>Feb/June/Oct 23: This is ongoing. The Council has provided Veolia with its assessment of what the property uplift charges should be. The UPRNs of these properties have been provided to Veolia for them to match against the properties that they have added to the service to identify where the differences lie.</p> <p>Feb 24: The reconciliation of property data between the Council and Veolia has identified a small number of variances in the way the contractor calculates property charges. Council Tax will provide Environment with a list of properties to confirm new homes that are occupied and compare it to the data Veolia has provided.</p> <p>May 24: Veolia has revised their data and a process is now in place to compare Veolia's data and the Council tax data. The Council has challenged Veolia as houses in multiple occupation (HMOs) are not always classified correctly by the contractor. This is an ongoing piece of work</p>	<p>Overdue</p>

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<p>Treasury Management 2022/23 December 2022</p>	<p>1</p>	<p>Treasury Management risks and the associated control framework are detailed within the Finance Risk Register or a separate Treasury Management Risk Register. The risk and mitigating controls be linked to the CIPFA Treasury Management practice notes. Risks need to include the absence of adequate business continuity planning arrangements.</p>	<p>Medium</p>	<p>The Assistant Director Finance and Corporate Finance Manager will address this once in post in 2023. It is expected that this will not be completed until Q2 2023/24 due to other key priorities that will require managing.</p>	<p>Responsibility: Assistant Director of Finance</p>	<p>30/09/23</p>	<p>30/06/24 30/09/24</p>	<p>Feb 24: Operational risk registers (including a Finance risk register) will be developed for all Directorates as part of the Corporate Plan (Delivery plan) following adoption of the new Corporate Plan 2024-2028.</p> <p>May 24: Operational risk registers (including Finance) are in the process of being developed for all services, and will be overseen by the Corporate Leadership Team and Wider Leadership Team.</p>	<p>Overdue</p>
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